

1 Original Article

2 **Title:** Positive intrapleural pressure with carbon dioxide may limit intraoperative  
3 pulmonary arterial bleeding: verification by animal model

4 **Running title:** Positive pressure for pulmonary arterial bleeding

5

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21

22 **Key words:** thoracoscopic surgery, intraoperative bleeding, carbon dioxide  
23 insufflation, intrapleural pressure

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26 **Abstract**

27

28 **Purpose:** Intraoperative complications, especially unexpected bleeding, are of great  
29 concern in the safety of thoracoscopic surgery. We investigated the hemostatic efficacy  
30 and safety of positive intrapleural pressure with carbon dioxide insufflation by  
31 assessing the amount of blood loss in a pulmonary arterial hemorrhage model.

32 **Methods:** An ex vivo experimental model of saline flow into a swine vessel was  
33 created in a container simulating a chest cavity. From the results, in vivo experiments  
34 (swine model) were conducted to compare the bleeding volume from the pulmonary  
35 artery while applying positive intrapleural pressure.

36 **Results:** In the ex vivo experiment, regardless of the incision type, the outflow  
37 volumes did not significantly differ at flow pressures of 20, 30, and 40 mmHg. At each  
38 flow pressure, the outflow volumes at 10, 15, and 20 mmHg of positive pressure in the  
39 container were significantly smaller than those of the control. Similarly, the in vivo  
40 experiments showed that bleeding decreased as intrapleural pressure increased.

41 **Conclusion:** It may be possible to temporarily suppress bleeding from the pulmonary  
42 artery by increasing the intrapleural pressure to 10 to 20 mmHg using carbon dioxide  
43 insufflation. This method may be an adjunctive hemostatic maneuver for intraoperative  
44 bleeding.

45

46 **Introduction**

47

48 In recent years, the use of positive intrapleural pressure (PIP) with carbon dioxide  
49 (CO<sub>2</sub>) has increased in video-assisted and robot-assisted thoracoscopic surgery for the  
50 purpose of obtaining a good view and large working field in the thoracic cavity. We  
51 speculated that using PIP during bleeding events as described above can temporarily  
52 control the bleeding speed and ultimately reduce the occurrence of fatal complications.  
53 We previously published in vivo data from swine that underwent CO<sub>2</sub> insufflation(1)  
54 and showed that CO<sub>2</sub> insufflation can be safely introduced intraoperatively.  
55 Additionally, PIP suppressed bleeding from the injured site of the pulmonary vein with  
56 no fatal changes, such as air embolisms, accompanying the PIP in the systemic  
57 condition. We also confirmed in another clinical study that PIP of up to 15 mmHg can  
58 be safely applied intraoperatively in humans(2). However, the efficacy of PIP in  
59 treating pulmonary artery (PA) injury must be evaluated because PA laceration is a  
60 common cause of hemorrhage and is difficult to treat, as described in previous  
61 reports(3,4). Because the PA is also a low-pressure circulatory system, PIP is expected  
62 to have a certain bleeding control effect. Therefore, we conducted this study to  
63 investigate the suppressive effect of PIP on bleeding from the PA.

64

65 In the field of general thoracic surgery, minimally invasive techniques such as video-  
66 assisted and robot-assisted thoracoscopic surgery are gaining popularity because of the  
67 increased detection rate of early-stage lung tumors. These techniques allow surgeons to  
68 remove the lesions with decreased postoperative pain, a shorter air leak duration,  
69 shorter hospital stays, and lower overall complication rates with oncological results

70 equivalent to those of traditional open thoracotomy(5–7). However, the safety of  
71 thoracoscopic surgery has caused great concern because of reports of devastating  
72 intraoperative complications, especially bleeding(3). A retrospective analysis of 8,563  
73 thoracoscopic lung surgeries listed in the United States national database in 2016  
74 revealed that 9.2% of patients required blood transfusions for management of  
75 intraoperative bleeding(8). Specifically, bleeding from the PA is also problematic  
76 because of secondary injury associated with surgical hemostasis. Several reports have  
77 described how to manage such severe intraoperative bleeding(4,9–11), and use of these  
78 techniques is very important. However, if the bleeding from the laceration site remains  
79 excessive, the probability of stopping it decreases, even with these techniques.  
80 Therefore, devices that will reduce the bleeding flow rate, even temporarily, are very  
81 useful.

82

83 Because proper use of laboratory animals is required to ensure animal welfare, we  
84 first collected detailed data from an ex vivo model using swine PAs immediately after  
85 slaughter. Subsequently, to validate these results, we applied the same procedure to in  
86 vivo models using a small number of experimental animals.

87

## 88 **Materials and Methods**

89

### 90 *Ex vivo experiment*

91 Figure 1a illustrates the ex vivo experiment. The right PA from the lung of a swine  
92 immediately after slaughter was harvested and cut to a length of approximately 5 cm. A  
93 polypropylene container resembling a chest cavity was prepared, with a hole on one

94 side and attachment of a single-use retractor (Alnote<sup>®</sup>-LAPSINGLE; Alfresa Pharma  
95 Corporation, Osaka, Japan). The two ends of the harvested PA were connected to the  
96 infusion route in a leak-proof manner, then led out of the container through a port. One  
97 side was connected to an infusion bag containing saline solution. On the other side, the  
98 infusion route was raised to the same height as the infusion bag. Saline solution was  
99 dripped from a height equivalent to the corresponding pressure. Measurements were  
100 taken when the water level in the opposite infusion route increased to the same level as  
101 that in the infusion bag, indicating that the designated pressure had been reached in the  
102 artery. First, different injury types, including 10-mm-long incisions and 5-Fr sheath  
103 placement, were prepared in the vessel. The amount of liquid outflow from the injury  
104 site was measured for 30 seconds under various conditions, including the inflow  
105 pressure and the pressure inside the container, using an AirSeal<sup>®</sup> Intelligent Flow  
106 System (CONMED, Utica, NY, USA). No difference was found between the 10-mm  
107 incision and the 5-Fr sheath model as a PA injury model; therefore, the 5-Fr sheath  
108 model was used in the experiment with positive pressure in the container because of the  
109 reproducibility, measurement accuracy, and ease of the experiment.

110

### 111 ***In vivo experiments***

112 All animals have received humane care in compliance with the “Principles of  
113 Laboratory Animal Care” formulated by the National Society for Medical Research and  
114 the “Guide for the Care and Use of Laboratory Animals” prepared by the Institute of  
115 Laboratory Animal Research (ILAR), published by the National Academies Press(12).  
116 The Azabu University Animal Experimentation Committee approved the in vivo  
117 experiments (approval number 200206-1), which were performed in accordance with

118 institutional guidelines and with the National Institutes of Health guidelines regarding  
119 the principles of animal care. Two specific-pathogen-free, 50-day-old female swine  
120 weighing 32 to 35 kg each were fed a standard diet and allowed water ad libitum. Both  
121 swine underwent thoracoscopic surgery with target intrapleural pressures with CO<sub>2</sub>  
122 insufflation. Anesthesia was induced via intravenous injection of ketamine (10–15  
123 mg/kg), xylazine (2 mg/kg), and propofol (2.5–3.5 mg/kg), and the animals were  
124 intubated with a 5.5-mm flexible silicone endotracheal tube (Univent; Fuji Systems  
125 Corporation, Tokyo, Japan) connected to a mechanical ventilator. The animals inhaled  
126 2% isoflurane/100% oxygen for the entire experiment. We cannulated the left femoral  
127 artery and vein with a 20-gauge needle intravascular catheter using a cut-down  
128 technique and monitored the central venous pressure (CVP). Biological parameters,  
129 including blood pressure from the left femoral artery and left main PA, were monitored  
130 and recorded during the operation. The swine were placed in the left decubitus position  
131 (Fig. 1b). The single-use retractor (Alnote<sup>®</sup>-LAPSINGLE) was then placed through the  
132 incision in the fourth intercostal space at the anterior axillary line. A 10-mm-diameter  
133 30-degree rigid scope was introduced through this incision. One of the four ports was  
134 connected to an AirSeal<sup>®</sup> for CO<sub>2</sub> insufflation, and artificial pneumothorax was  
135 maintained at a designated pressure. All surgical procedures were performed  
136 thoracoscopically. A 5-Fr intravascular catheter was inserted into the right pulmonary  
137 trunk (Fig. 1c). The intrapleural pressures varied between 0, 5, 10, 15, and 20 mmHg,  
138 and the amount of bleeding was measured for 10 seconds after applying pressure. The  
139 blood pressure of the left femoral artery, CVP, and heart rate were monitored  
140 throughout the experiment. The PA pressure before bleeding at each intrathoracic  
141 pressure (IP) was measured. However, it was technically impossible to evaluate the PA

142 pressure at the end of bleeding in order to check the amount of bleeding.

143

#### 144 *Statistical analysis*

145 GraphPad Prism, version 9.3.1 (GraphPad Prism Software Inc., San Diego, CA, USA)

146 was used for the statistical analyses and to construct the figures. The results were

147 assessed using the Kruskal–Wallis test and simple linear regression analysis to compare

148 multiple groups and the unpaired two-tailed Student’s t-test with Welch’s correction

149 (Welch’s t-test) to compare two selected groups. A p value of <0.05 was considered

150 statistically significant.

151

#### 152 **Results**

153

##### 154 *Ex vivo experiments with two different vascular injury models (5-Fr sheath insertion,* 155 *10-mm incision)*

156 First, the ex vivo model was used to compare the outflow at different flow pressures for

157 various wound types. Two groups were created: one with a 10-mm-long wound in the

158 blood vessel (10-mm group), and one with 5-Fr sheath placement (5-Fr group). Figure

159 2a shows the results of the outflow volume comparison for six samples per group. For

160 both wound types, the outflow volume increased as the inflow pressure increased.

161 Kruskal–Wallis nonparametric analysis showed significant differences between the two

162 groups at outflow pressures of 10 and 50 mmHg but no significant differences between

163 the two groups at outflow pressures of 20, 30, or 40 mmHg (Table 1). The results

164 showed that 5-Fr sheath placement, which was easily applied during the experiment in

165 the container, could be used as the representative wound type to measure the outflow



166 volume at these outflow pressures.

167

168 ***Effect of pressure changes in the cabinet on vascular outflow in a series of 5-Fr***

169 ***sheath models***

170 We then varied the pressure in the cabinet to 5, 10, 15, and 20 mmHg (PIP model) in

171 the same experimental system with 5-Fr sheath placement and compared the outflow

172 volumes. Table 2 and Figure 2b show the results of the experiments performed by

173 changing the flow pressures to 20, 30, and 40 mmHg. For all flow pressures, the

174 outflow decreased as the container pressure increased, and the F-test showed the

175 validity of the model's approximation. Welch's t-test showed that the outflow volumes

176 at 10, 15, and 20 mmHg of container pressure were significantly smaller than that of

177 the control ( $p = 0.027$ ,  $p = 0.002$ , and  $p = 0.005$ , respectively).

178

179 ***In vivo experiment with 5-Fr sheath model***

180 To verify the results of the ex vivo experiment, we conducted in vivo experiments on

181 two swine. Figure 3a shows the results of the bleeding volume measured by varying the

182 intrapleural pressures between +5 mmHg and +20 mmHg. Although the results could

183 not be fully validated because we used only two swine, simple linear regression

184 analysis confirmed that the bleeding volume decreased in accordance with the

185 increased intrapleural pressure (slope =  $-0.22$ ,  $F = 55.13$ ,  $p < 0.0001$ ) (Fig. 3a).

186 Additionally, recorded video confirmed that the momentum of bleeding was suppressed

187 as the intrapleural pressure increased (supplementary video 1). No significant changes

188 in arterial blood pressure, CVP, or heart rate were observed between the pre- and post-

189 experiments. As the intrapleural pressure increased, the blood pressure decreased, and

190 the CVP tended to increase in both the pre- and post-experiments (Fig. 3b and c,  
191 respectively). The mean PA pressure (average of two trials) before bleeding was 26  
192 mmHg at an IP of 0 mmHg, 28 mmHg at an IP of 5 mmHg, 29 mmHg at an IP of 10  
193 mmHg, 30 mmHg at an IP of 15 mmHg, and 24 mmHg at an IP of 20 mmHg.

194

## 195 **Discussion**

196

197 This study demonstrated that PIP with CO<sub>2</sub> insufflation can effectively control PA  
198 injury-induced bleeding during thoracoscopic surgery. We found that increasing the  
199 intrapleural pressure to >15 mmHg should reduce the amount of bleeding by less than  
200 half compared with that of surgery without CO<sub>2</sub> insufflation. Moreover, the in vivo  
201 experimental results suggest that the mechanism of suppressing the bleeding volume  
202 involves both applying CO<sub>2</sub> pressure to the bleeding point and decreasing the cardiac  
203 output. To our knowledge, this is the first study to demonstrate that PIP may help  
204 control intraoperative bleeding from the PA.

205

206 To achieve reliable hemostasis, it is necessary to identify the bleeding point. However,  
207 the bleeding point is difficult to identify in the presence of excessive bleeding. In  
208 addition, the procedure used to identify the bleeding point may increase the amount of  
209 bleeding. Therefore, additional surgical procedures (e.g., securing the central side of the  
210 blood vessel) are performed to avoid increased bleeding when checking for bleeding  
211 points. However, performing these procedures under compression hemostasis often  
212 carries additional risks. Conversely, PIP can reduce the bleeding volume without  
213 additional surgical intervention and can help identify bleeding points. In other words, it

214 may be regarded as a safer hemostasis procedure in the event of unexpected  
215 intraoperative PA bleeding.

216

217 The growing demand for minimally invasive surgery has led to widespread use of  
218 thoracoscopic surgery without definitive evidence. The expert opinions of many  
219 medical professionals on how to handle bleeding during surgery have been  
220 summarized(13). This expert consensus clarified the following points. First, in the  
221 event of bleeding, surgeons must remain calm and use compression as the first step.  
222 Next, surgeons should convert the procedure to thoracotomy when the laceration site is  
223 large, bleeding is poorly controlled, no endoscopic view is available, or the laceration  
224 site has spread during repair. This expert consensus did not mention PIP with CO<sub>2</sub>  
225 insufflation. Our results suggest that additional application of PIP will temporarily  
226 suppress bleeding, making it easier to control bleeding by compression and  
227 thoracoscopically repair the laceration. Furthermore, we believe that even if surgeons  
228 convert the procedure to thoracotomy, reduced bleeding during the thoracotomy should  
229 reduce the surgical invasiveness and thus contribute to the safety of the thoracoscopic  
230 surgery.

231

232 Here, the question regarding whether it is safe to introduce high intrapleural pressure  
233 during surgery may be raised. Two safety concerns may arise: the effect of PIP on  
234 cardiopulmonary function and the occurrence of an air embolism associated with CO<sub>2</sub>  
235 insufflation. The former has been difficult to evaluate in experimental animals.  
236 Scholars have concluded that PIP should not be applied because destabilization of  
237 cardiopulmonary function has been demonstrated in dogs(14), swine(15), and

238 horses(16). Conversely, studies have shown that gradually increasing the intrapleural  
239 pressure up to 14 mmHg has little effect on cardiopulmonary function in  
240 humans(17,18). We used CO<sub>2</sub> insufflation in a previous study and found it to be stable  
241 up to 15 mmHg(2). Thereafter, in our clinical practice, when a procedure requires  
242 applying PIP during general thoracic surgery, we set it at 10 to 15 mmHg using an  
243 AirSeal® Intelligent Flow System, and patients' vital signs remain stable for several  
244 hours. This difference between humans and non-humans may be associated with the  
245 availability of isolated lung ventilation with a double-lumen tube because positive  
246 thoracic pressure has a minimal direct effect on ventilation.

247

248 We found no clear reports of air embolisms caused by the use of thoracic CO<sub>2</sub>  
249 insufflation. However, various studies on air embolisms due to CO<sub>2</sub> insufflation were  
250 conducted in the field of laparoscopic surgery in the 1990s and 2000s, and we believe  
251 that these studies will help in evaluating the risks during thoracoscopic surgery. A meta-  
252 analysis showed that air embolisms occurred in only 7 of 489,335 laparoscopic  
253 procedures (0.001%)(19). However, using transesophageal echocardiography to  
254 monitor for CO<sub>2</sub> embolism during laparoscopic surgery enabled the more frequent  
255 detection of bubbles(20). Dion et al.(21) reported that a single 15-mL dose to the vena  
256 cava in dogs resulted in no intravascular bubbles, a single 100-mL dose resulted in only  
257 increased PA pressure, and a single 300-mL dose resulted in bubbles in the left ventricle  
258 and death. Mayer et al.(22) performed an in vivo experiment in which CO<sub>2</sub> was infused  
259 into the inferior vena cava at various rates for 2 hours in swine, resulting in air  
260 embolism and death in three of five animals after more than 50 minutes of infusion at  
261 1.2 mL/kg/min. Graff et al.(23) concluded from experiments on dogs that the 50%

262 lethal dose for transvenous CO<sub>2</sub> infusion in 70-kg humans is 1750 mL. These results  
263 suggest that a rapid and massive influx of CO<sub>2</sub> is required to develop air embolisms  
264 with clinical symptoms because CO<sub>2</sub> is highly soluble in water. Because one death due  
265 to air embolization caused by artificial pneumothorax using non-CO<sub>2</sub> air has been  
266 documented(24), a certain amount of gas entry should be expected during general  
267 thoracic surgery. When CO<sub>2</sub> is applied for insufflation, symptomatic air embolism is  
268 unlikely to occur because of the high solubility of CO<sub>2</sub> in water.

269

270 This study had some limitations. First, because of the small number of swine in both  
271 the in vivo and ex vivo experiments, especially in the in vivo experiments, the  
272 statistical data may be unreliable. However, the ultimate goal of this study was to  
273 establish a method for using CO<sub>2</sub> insufflation to safely stop intraoperative bleeding in  
274 humans. Therefore, we believe that a porcine experimental model should be established  
275 as soon as possible and that a small number of samples would provide a sufficient  
276 bridge to clinical practice. Moreover, constructing in vivo models would require  
277 sacrificing many animals, which should be avoided as much as possible to promote  
278 animal welfare. Second, anatomical differences exist between swine lungs and human  
279 lungs. However, obtaining the necessary length of human vessel to conduct the  
280 experiment is difficult; therefore, we chose swine as the experimental models.

281

## 282 **Conclusion**

283

284 Ex vivo and swine in vivo experiments showed that increasing the intrapleural pressure  
285 (10–20 mmHg) via CO<sub>2</sub> insufflation may temporarily suppress bleeding from PA injury.

286 This method may be useful as an adjunctive hemostatic maneuver for intraoperative  
287 bleeding if it is limited to bleeding from low-pressure systems such as the venous and  
288 pulmonary circulatory systems. Further investigation is needed to clarify the safety and  
289 efficacy of this method in clinical practice.

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296

297 **Author contributions statement**

298 Conceptualization: MA, MK, YukSak

299 Data curation: MA, ST, NM, EK, YukSak

300 Formal analysis: YoYa, YukSak

301 Funding acquisition: EK, MK, YukSak

302 Investigation: MA, TY, YukSak

303 Methodology: MA, EK, YukSak

304 Project administration: MK, YukSak

305 Resources: YaYa, NM, EK, MK, YukSak

306 Software: YoYa

307 Supervision: YuiSai, MK

308 Validation: YuiSai, MK

309 Visualization: MA, YoYa, YukSak

310 Writing – original draft: MA, YoYa, YukSak

311 Writing – review & editing: NM, EK, YuiSai, MK

312

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317

318 **Disclosure statement**

319 All authors have no conflicts of interest.

320



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398

399

400 **Figure legends**

401

402 **Fig. 1** Operative procedures. (a) Ex vivo experimental setting. The right pulmonary  
403 artery (PA) from the lung of a swine was harvested. In a polypropylene container, the  
404 two ends of the harvested PA were connected to the infusion route in a leak-proof  
405 manner. One side was connected to an infusion bag containing saline solution, and on  
406 the other side, the infusion route was raised to the same height as that of the infusion  
407 bag. Saline solution was dripped from a height equivalent to the corresponding  
408 pressure. (b) In vivo experimental setting. The swine were placed in the left decubitus  
409 position. A single-use retractor (Alnote®-LAPSINGLE) was placed through the  
410 incision in the fourth intercostal space at the anterior axillary line. A 10-mm-diameter  
411 30-degree rigid scope was introduced through this incision. One of the four ports was  
412 connected to an AirSeal® for CO<sub>2</sub> insufflation, and artificial pneumothorax was  
413 maintained at a designated pressure. (c) Intrathoracic view of the in vivo experiments.  
414 A 5-Fr intravascular catheter was inserted in the right pulmonary trunk. The intrapleural  
415 pressure was varied, and the amount of bleeding was measured.

416

417 **Fig. 2** Ex vivo experimental results. (a) Comparison of the outflow volumes for the two  
418 groups: the 10-mm group (10-mm-long incision) and the 5-Fr group (5-Fr sheath). For  
419 both wound types, the outflow volume increased with the inflow pressure. There was  
420 no significant difference between the two groups at outflow pressures of 20, 30, or 40  
421 mmHg. (b) Experimental results of 5-Fr model after varying the flow pressure to 20,  
422 30, and 40 mmHg. For all fluid pressures, the outflow decreased as the container  
423 pressure increased.

424

425 **Fig. 3** In vivo experimental results of 5-Fr sheath model. (a) Bleeding volumes  
426 measured by varying the intrapleural pressure between 5 mmHg and 20 mmHg. The  
427 blue line shows a simple linear regression model. The bleeding volume decreased as  
428 the intrapleural pressure increased. (b) Arterial blood pressures measured before and  
429 after the experiment while varying the intrapleural pressure between 5 mmHg and 20  
430 mmHg. (c) Central venous pressures measured before and after the experiment while  
431 varying the intrapleural pressure between 5 mmHg and 20 mmHg.

432 **Supplementary video 1.** This is a demonstration video in which positive intrapleural  
433 pressure was applied with carbon dioxide to reduce pulmonary artery bleeding after  
434 removal of the 5-Fr sheath in a swine model. The amount of bleeding decreased as the  
435 positive pressure increased (the intrapleural pressure varied from 5 to 20 mmHg).

Figure 1

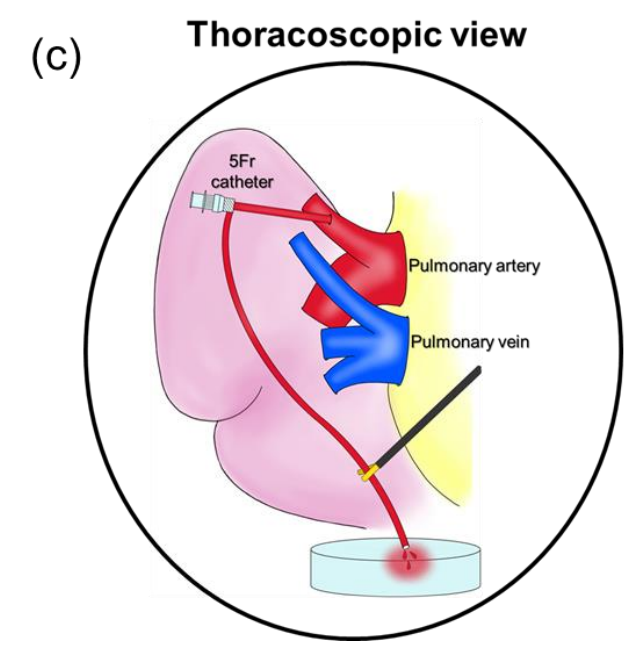
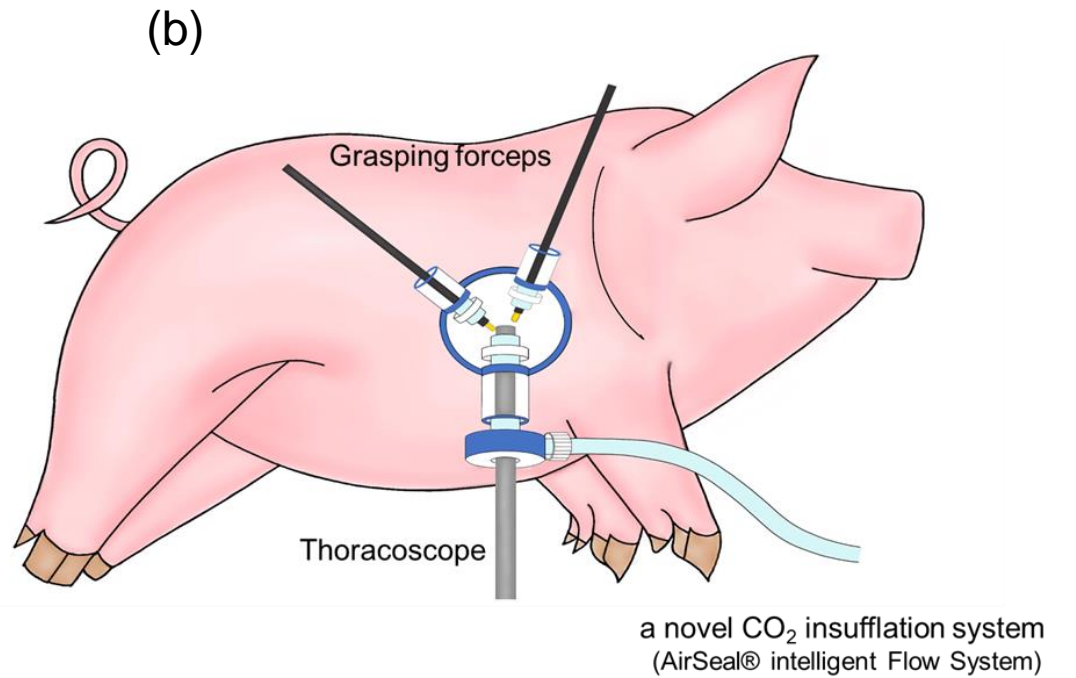
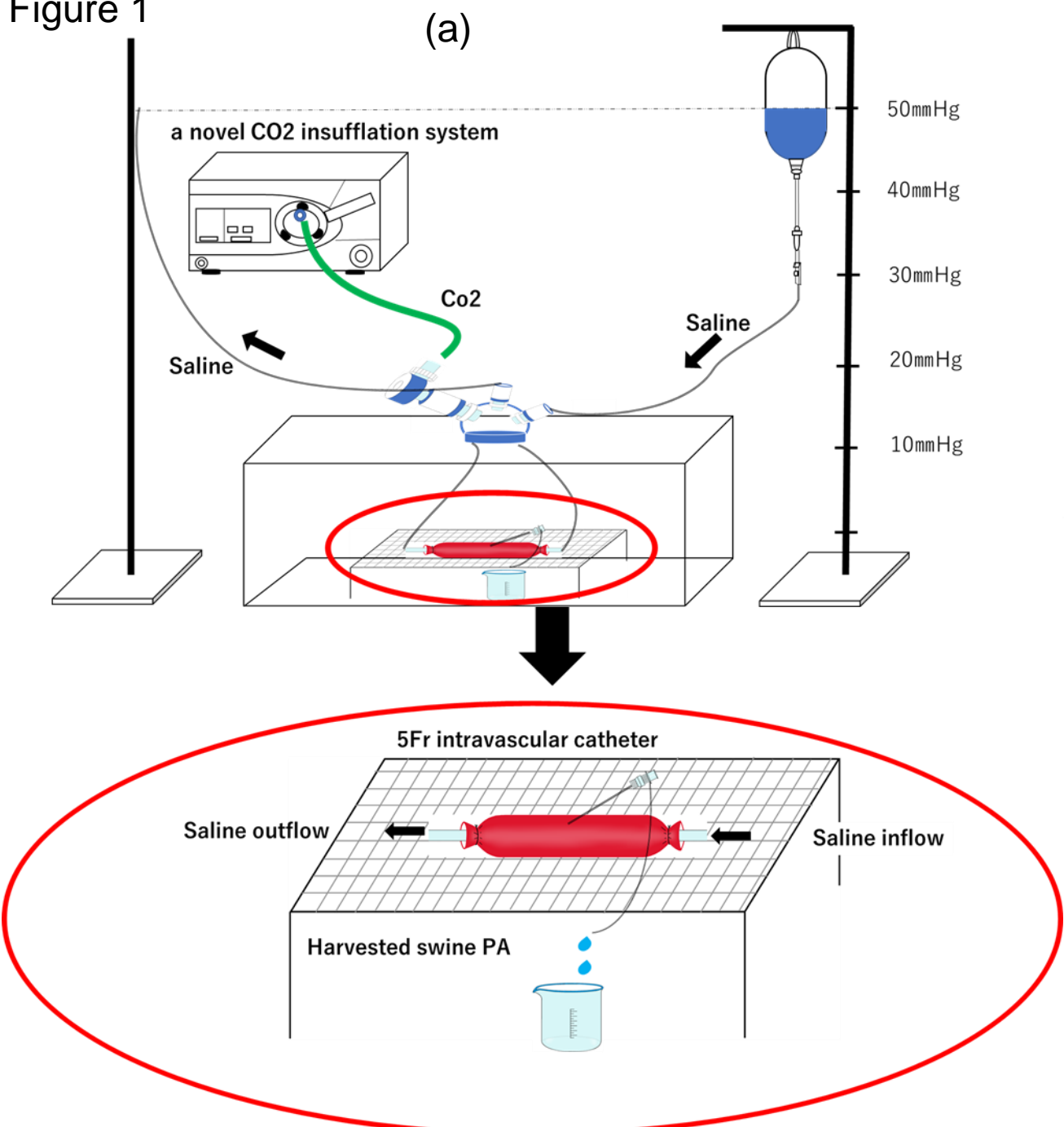


Figure 2

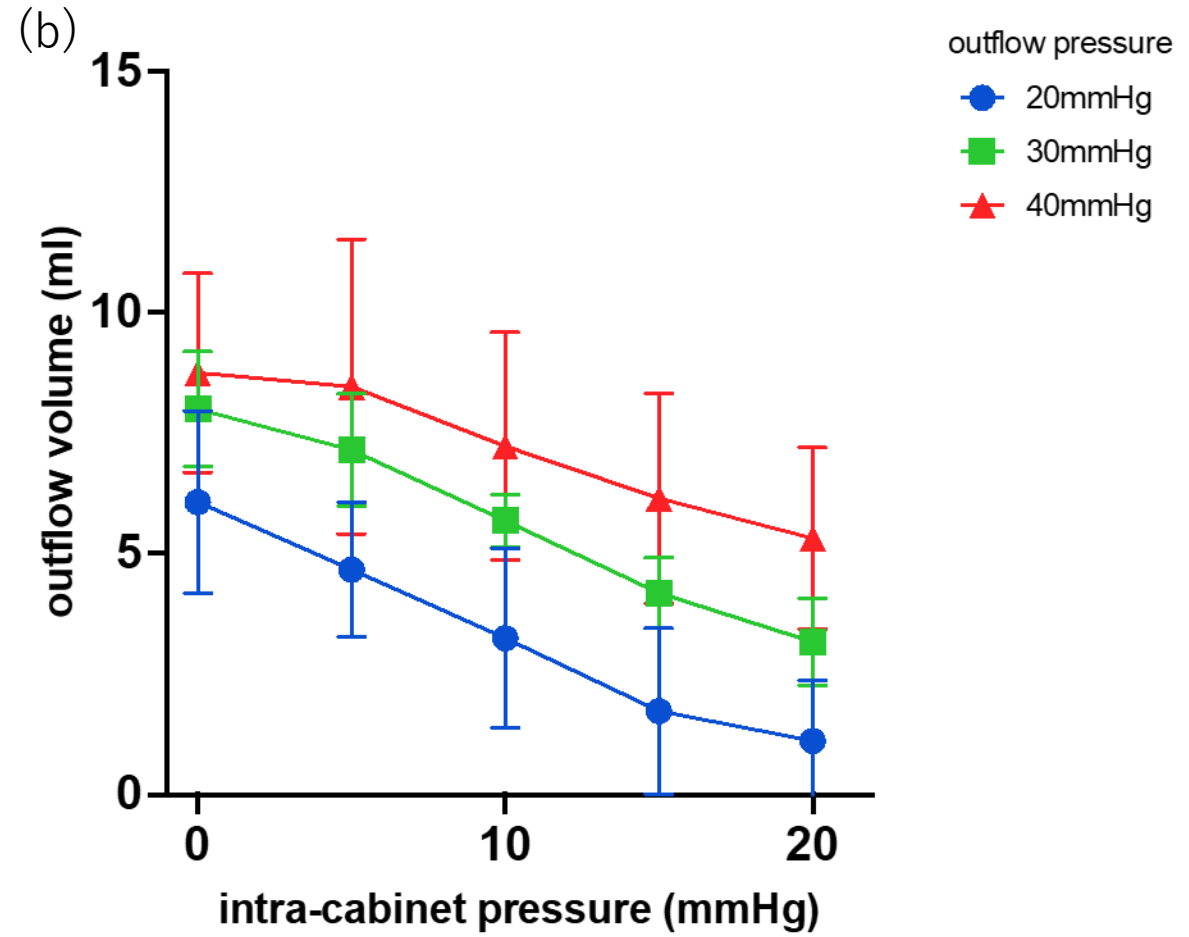
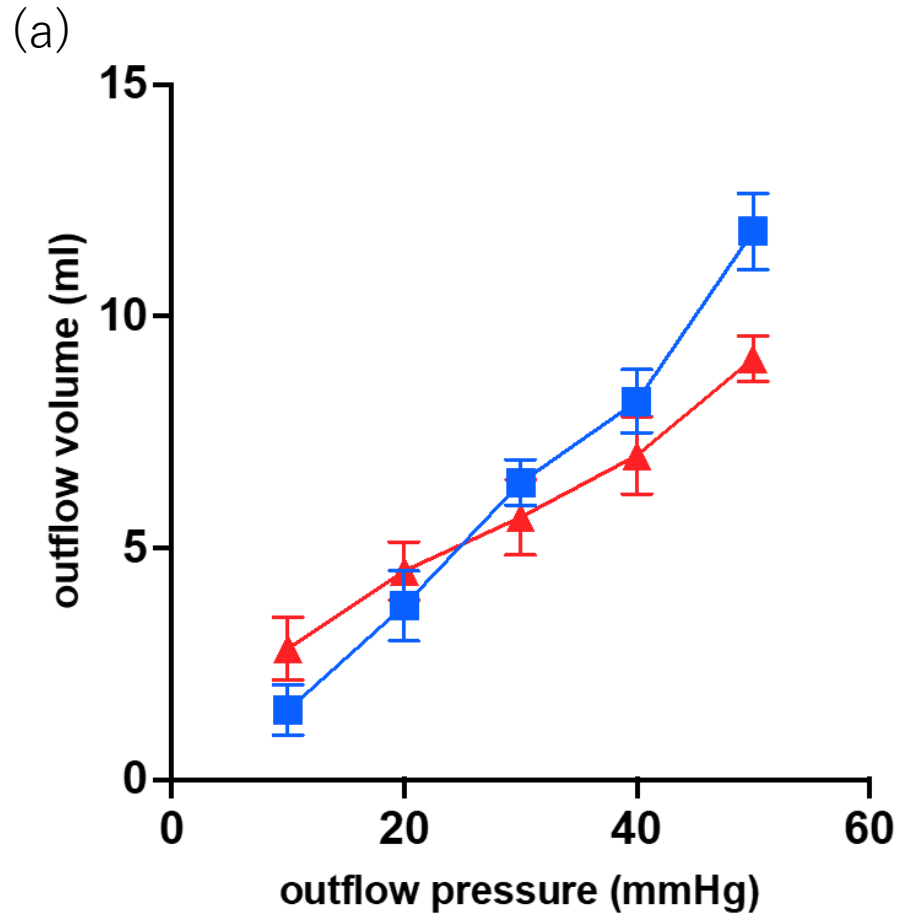




Figure 3

